

10/14/01

U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	500199.04	August 14, 2001
First Inventor or Application Identifier		Scott E. Moore
Title	METHOD AND APPARATUS FOR PLANARIZING A MICROELECTRONIC SUBSTRATE WITH A TILTED PLANARIZING SURFACE	
Express Mail Label No.		EL 673946799 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Box Patent Application
Commissioner of Patents
Washington, D.C. 20231

1. Fee Transmittal Sheet (+ copy)
(Submit an original and a duplicate for fee processing)
2. Applicant(s) Claim(s) Small Entity Status
3. Specification [Total Pages] **33**
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) [Total Sheets] **7**
5. Oath or Declaration [Total Pages] **1**
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet (37 C.F.R. 1.76)

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer-Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. Assignment Papers (cover sheet & document(s))
11. 37 CFR 3.73(b) Statement
(when there is an assignee) Power of Attorney
12. English Translation Document (if applicable)
13. Preliminary Amendment Total Pages **2**
14. Return Receipt Postcard
15. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
16. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. Other: Certificate of Express Mail
Check
General Authorization
Revocation and Substitute POA

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

Continuation Divisional Continuation-In-Part (CIP) of prior Application No: 09/388,828 Filed September 1, 1999

Prior application information: Examiner Willie Berry, Jr. Group / Art Unit 3723

Incorporation By Reference (useable if box 5b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

CORRESPONDENCE ADDRESS

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TYPED or PRINTED NAME Steven H. Arterberry

REGISTRATION NO. 46,314

SIGNATURE Steve Arterberry

Date 8/14/01

FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known	
Express Mail No.	EL 673946799 US
Filing Date	Concurrently Herewith
First Named Inventor	Scott E. Moore
Group Art Unit	Not Yet Assigned
Examiner	Not Yet Assigned
Atty. Docket Number	500199.04

METHOD OF PAYMENT (Check One)

1. The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	710	201	355	[X] Utility Filing Fee
106	320	206	160	[] Design Filing Fee
108	710	208	355	[] Reissue Filing Fee
114	150	214	75	[] Provisional Filing Fee
Subtotal (1)				\$ 710

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	3	- 20	= 0	x \$ 18 = \$ 0
Ind.	1	- 3	= 0	x \$ 80 = \$ 0
Multiple Dependent Claims			x \$	= \$
			Subtotal (2)	\$ 0

Large Entity

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee paid
105	130	205	65 Surcharge - Late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For Filing a Request for Reexamination
115	110	215	55 Extension for reply within first month
390	216	117	195 Extension for reply within 2 nd month
1,390	218	890	445 Extension for reply within 3 rd month
1,890	280	1,390	695 Extension for reply within 4 th month
310	220	1,890	945 Extension for reply within 5 th month
260	270	120	155 Filing a brief in support of an appeal
110	248	121	135 Request for oral hearing
10	240	148	55 Terminal Disclaimer Fee
1,240	241	140	55 Petition to revive – unavoidable
1,240	242	141	620 Petition to revive – unintentional
440	243	1,240	620 Utility/Reissue issue fee (+ advance copies)
130	122	142	220 Design issue fee (+ advance copies)
50	123	123	130 Petitions to the Commissioner
180	126	122	50 Petitions related to provisional applications
40	81	126	180 Submission of IDS
710	279	581	Recording each patent assignment per property (times number of properties)
		179	355 Request for Continued Examination (RCE)
			Subtotal (3) \$ -0-

Total Amount of Payment: **\$ 710**

Submitted by:

Name: Steven H. Arterberry

Reg. No.: 46,314

Telephone: (206) 903-8787

Signature:

Date: 8/14/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Scott E. Moore

Attorney Docket No.: 500199.04

Filed : August 14, 2001

Title : METHOD AND APPARATUS FOR PLANARIZING A MICROELECTRONIC
SUBSTRATE WITH A TILTED PLANARIZING SURFACECERTIFICATE OF MAILING BY "EXPRESS MAIL"

Box Patent Application
Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 673946799 US, on August 14, 2001, addressed to Box Patent Application, Commissioner of Patents, Washington, DC 20231.

Respectfully submitted,

DORSEY & WHITNEY LLP



Stephanie Jansen for West Courier

SHA:sj

Enclosures:

Postcard
Check
Form PTO/SB/05
Application Data Sheet
Fee Transmittal Sheet (+ copy)
General Authorization
Preliminary Amendment
Copy of Previously Filed Specification, Claims, Abstract (33 pages)
Copy of 7 Sheets of Drawings (Figures 1-7)
Copy of Declaration
Copy of Election and Power of Attorney
Revocation and Substitute Power of Attorney
Information Disclosure Statement and Form PTO-1449

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Scott E. Moore Attorney Docket No.: 500199.04
Filed : Concurrently herewith
Title : METHOD AND APPARATUS FOR PLANARIZING A MICROELECTRONIC SUBSTRATE WITH A TILTED PLANARIZING SURFACE

TRANSMITTAL FOR REVOCATION AND SUBSTITUTE POWER OF ATTORNEY

Box Patent Application
Commissioner of Patents
Washington, D.C. 20231

Sir:

Transmitted herewith and attached hereto as Addendum A is a true and correct copy of the Revocation and Substitute Power of Attorney executed June 1, 2000, in the above-identified application. The above-identified application is identified on Exhibit A.

Pursuant to 37 C.F.R. § 3.73, Michael L. Lynch, duly authorized designee of Assignee, has certified that the evidentiary documents have been reviewed, specifically the Assignment to MICRON TECHNOLOGY, INC., recorded under Reel 010480 / Frame 0926, and certified that to the best of his knowledge and belief, title remains in the name of the Assignee.

Respectfully submitted,

DORSEY & WHITNEY LLP

Steve Arterberry
Steven H. Arterberry
Registration No. 46,314

Enclosures:

Addendum A Exhibit A

1420 Fifth Avenue, Suite 3400
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